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TO

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Examiner Michael P. Nghiem

U.S. Patent &amp; Trademark Office

703-308-7724

703-306-3445

TOTAL NO. OF PAGES

32

FROM

David L. Schaeffer, Reg. No. 32,716

SENDER'S FAX NUMBER

212-806-6006

SENDER'S PHONE NO.

212-806-6677

SENDER'S EMAIL

dschaeffer@stroock.com

ROOM NO.

3869

ATTORNEY NO.

3551

CLIENT/MATTER NAME

U.S. Patent Appln. No. 09/432,272

CLIENT/MATTER NO.

405507/0003

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MESSAGE

Please bring these papers to Mr. Nghiem.

DEC 16 2002

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Docket No.  
405507/0003  
LR:DLS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Toshihisa Saruta, et al.**

Art Unit: **2861**

Application No.: **09/432,272**

Examiner: **Michael P. Nghiem**

Filed: **November 2, 1999**

For: **INK CARTRIDGE AND PRINTER  
USING THE SAME**

Date: **December 16, 2002**

Commissioner for Patents  
Washington, DC 20231

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**CERTIFICATE OF TRANSMISSION**  
**BY FACSIMILE (37 C.F.R. § 1.8)**

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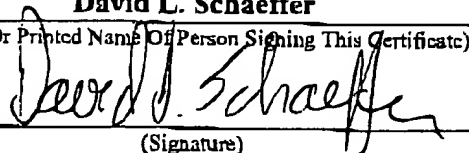
I hereby certify that the following correspondence

**Amendment (28 pgs); Petition for Extension of Time Under 37 CFR 1.136(a) (PTO/SB/22);  
and Fee Transmittal for FY 2002 (PTO/SB/17)**

is being transmitted by facsimile to the United States Patent and Trademark Office in accordance  
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**David L. Schaeffer**

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PTO/SB/17 (10-02)

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# FEE TRANSMITTAL

## for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 714.00)

## Complete If Known

Application Number 09/432,272  
 Filing Date November 2, 1999  
 First Named Inventor Toshihisa Saruta, et al.  
 Examiner Name Michael P. Nghiem  
 Art Unit 2861  
 Attorney Docket No. 405507/0003

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit  
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19-4709

Stroock &amp; Stroock &amp; Lavan LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** = 21	18	378
Multiple Dependent	-3** = 4	84	336

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 714.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 400	2252 200	Extension for reply within second month	
1253 920	2253 460	Extension for reply within third month	
1254 1,440	2254 720	Extension for reply within fourth month	
1255 1,960	2255 980	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,280	2453 640	Petition to revive - unintentional	
1501 1,280	2501 640	Utility issue fee (or reissue)	
1502 460	2502 230	Design issue fee	
1503 620	2503 310	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 740	2809 370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 740	2810 370	For each additional invention to be examined (37 CFR 1.129(b))	
1801 740	2801 370	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	David L. Schaeffer	Registration No. (Attorney/Agent)	32,716	Telephone	(212) 806-5400
Signature	David L. Schaeffer	Date	December 16, 2002		

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